



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James L. HENRY

Appl. No. 09/930,503

Filed: August 16, 2001

Art Unit: 1614

Examiner: Unknown

Atty. Docket No. 39245-173913

For: OLIGONUCLEOTIDES AND
OTHER MODULATORS OF THE
NK-1 RECEPTOR PATHWAY
AND THERAPEUTIC USES
THEREOF

Customer No.



26694

PATENT TRADEMARK OFFICE

Response To Notice To File Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231
BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated October 4, 2001, submitted herewith are the following:

- Declaration for United States Patent Application, Power of Attorney, Designation of Correspondence Address (executed 2 pages)
- Return Copy of Notification of Missing Parts dated January 25, 2002
- Petition for Extension of Time (4-months)
- Statement Claiming Small Entity Status

Official fees:

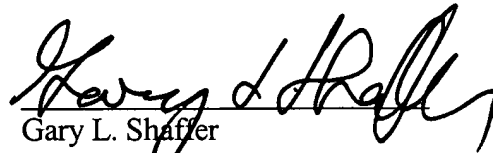
Basic Filing Fee (Small Entity)	\$ 370.00
Claims in excess of 20 (117 x \$9.00)	\$1,053.00
Independent Claims over 3 (9 x 42.00)	\$ 378.00
Petition for Extension of Time (4-months)	\$ 720.00
Surcharge for late submission of declaration	<u>\$ 65.00</u>

Total fees enclosed: \$2,586.00

Should no remittance be attached, or should any greater or lesser fee be required, please charge or credit our Account No. 22-0261 and advise us accordingly.

Respectfully submitted,

Date: April 4, 2002



Gary L. Shaffer

Registration No. 34,502

VENABLE

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/930,503	08/16/2001	James L. Henry	39245-173913

CONFIRMATION NO. 1568

26694

VENABLE, BAETJER, HOWARD AND CIVILETTI, LLP
P.O. BOX 34385
WASHINGTON, DC 20043-9998

FORMALITIES LETTER



OC000000006843725

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/08/2002 MWOLDER1 00000061 09930503

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:202	378.00 OP
03 FC:203	1053.00 OP
04 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2826.
 - \$2106 for 117 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3666.**

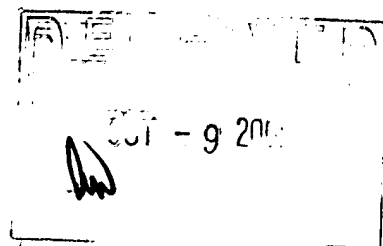
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

DOCKETED

CLIENT/MATTER #39245-173913 ATTY GSK
DUE DATE December 9, 2001 (File Missing Parts)
FINAL DEADLINE May 4, 2002
DKTED BY [Signature]





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PATENT TRADEMARK OFFICE

STATEMENT ESTABLISHING SMALL ENTITY STATUS

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant hereby establishes small entity status under 37 CFR §§ 1.9 and 1.27.

Respectfully submitted,

Gary L. Shaffer, Esq.
Reg. No. 34,502

Venable
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April 4, 2002